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VIENNA, VA 22182  
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FREDRIC J. ZIMMERMANT  
JAMES E. HOWARD†  
JAMES N. DRESSER  
JOHN J. DRESCH  
† Other Than Virginia Bar Member

ANNAPOLIS, MD OFFICE  
FREDERICK W. GIBB, III  
MOHAMMAD S. RAHMANT

February 10, 2004

## VIA FACSIMILE

To: Mai  
Deposit Accounts  
U.S.P.T.O.

Facsimile No.: (703) 872-9306

From: Sean M. McGinn

Facsimile No.: (703) 761-2375

Re: October 31, 2003 Deposit Account Statements  
U.S. Patent Application No.: 09/964,863  
Our Ref.: ORI.045

Dear Mai:

A charge of \$84.00 was erroneously deducted for fee code 1201(independent claims in excess of three) from our Deposit Account 50-0481 on October 24, 2003.

It is noted that an Excess Claim Fee Payment Letter was filed on September 8, 2003, along with our Check No. 9804 in the amount of \$578.00 for two independent claims (\$168.00) and extension fee (\$410.00). Therefore, it appears that the \$84.00 was charged in error and should be refunded.

For your convenience, enclosed is a copy of the October 31, 2003, Monthly Statement of Deposit Account, Excess Claim Fee Payment Letter with dated stamped post-card, and Check No. 9804 including the back side of Check No. 9804 showing that the USPTO in fact cashed the check.

Thank you in advance for your consideration on this case.

Very truly yours,

  
Sean M. McGinn

SMM/yr  
Enclosures

KOKUSAI076

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kazuo Tanaka

Serial No.: 09/964,863

Group Art Unit: 2814

Filed: September 28, 2001

Examiner: Louie, Wai Sing

For: TEMPERATURE CONTROLLING METHOD, THERMAL TREATING  
APPARATUS, AND METHOD OF MANUFACTURING SEMICONDUCTOR  
DEVICEHonorable Commissioner of Patents  
Alexandria, VA 22313-1450

## ATTENTION: FINANCE DIVISION

Sir:

## REQUEST FOR REFUND

A charge of \$84.00 was erroneously deducted for fee code 1201 (independent claims in excess of three) from our Deposit Account 50-0481 on October 24, 2003.

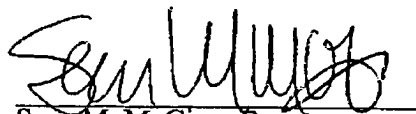
It is noted that an Excess Claim Fee Payment Letter was filed on September 8, 2003, along with our Check No. 9804 in the amount of \$578.00 for two independent claims (\$168.00) and extension fee (\$410.00). Therefore, it appears that the \$84.00 was charged in error and should be refunded.

Therefore, Applicant respectfully requests that the \$84.00 fee be refunded to Attorney's Deposit Account No. 50-0481. Please credit any overpayment of fees to Attorney's Deposit Account number 50-0481.

Respectfully Submitted,

Date:

2/10/04

  
Sean M. McGinn, Esq.  
Reg. No. 34,386

McGinn & Gibb, PLLC  
Intellectual Property Law  
8321 Old Courthouse Road, Suite 200  
Vienna, VA 22182-3817  
(703) 761-4100  
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# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

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*PAB*  
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DEC - 4 2003

**McGINN & GIBB, P.C.**

Under Secretary of Commerce for Intellectual Property and  
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Account No.	500481
Date	10-31-03
Page	1

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U. S. Patent and Trademark Office  
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DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
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10	24	03	6	09964863	KOKUSAI 076	1201	84.00	6408.00
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10	31	03	162	09879157	GOT060	1501	15.00	6103.00
10	31	03	164	09879157	GOT060	8001	15.00	6088.00
					FEE CODE 1201			
					Independent claims in excess of three			
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT					OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
					6698.00	646.00	36.00	6088.00

UNITED STATES

Attorney's Post Card Filing Receipt

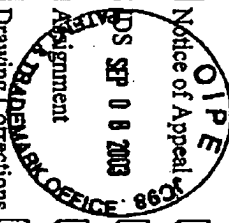
Serial Number: 09/964,563

Papers Filed On: 9/8/03

Attorney's Docket Number: KOKOSHET 076 ☒ Patent ☐ TrademarkApplicant's Name: Tanaka, et al. Application Filing Date: 9/8/01  
Papers Filed Herein:☒ Amendment ☒ Request for Extension of Time ☐ CPA Request☐ Notice of Appeal ☐ Appeal Brief (in triplicate) ☐ Reply Brief☐ IDS SEP 08 2003 ☐ 1449 Form w/ Documents ☐ Priority Document(s)☐ Assignment ☐ Recordation Cover Sheet ☐ Formal Drawings☐ Drawing Corrections ☐ Issue Fee Transmittal ☐ Missing Parts☒ Other Express Claim Fee Payment LetterFees Filed Herewith: \$ 518.00 ☒ Check ☐ Charge Deposit Account: \_\_\_\_\_

#9804

Hand Delivered



Docket No. KOKUSA 1/6

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kazuo TANAKA, et al.

Serial No.: 09/964,863

Group Art Unit: 2814

Filed: September 28, 2001

Examiner: Wai-Sing Louie

For: TEMPERATURE CONTROLLING METHOD, THERMAL TREATING APPARATUS,  
AND METHOD OF MANUFACTURING SEMICONDUCTOR DEVICEHonorable Commissioner of Patents  
Alexandria, Virginia 22313-1450**EXCESS CLAIM FEE PAYMENT LETTER**

Sir:

Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.

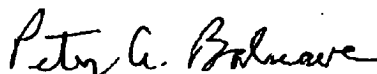
	<u>AFTER AMENDMENT</u>	<u>PREV. PAID FOR</u>	<u>EXTRA CLAIMS PRESENT</u>	<u>RATE</u>	<u>FEE DUE</u>
Total Claims	16 -	20	= 0	x \$18.00	\$ -
Indep. Claims	5 -	3	= 2	x \$84.00	\$ 168.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$ 168.00</b>

A check in the amount of \$168.00 is submitted herewith to cover the excess claim fees. A duplicate copy of this sheet is enclosed. The Commissioner is authorized to charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully Submitted,

Date:

9/8/03

  
Peter A. Balnave  
Reg. No. 46,199McGinn & Gibb, PLLC  
8321 Old Courthouse Road, Suite 200  
Vienna, VA 22182  
(703) 761-4100  
Customer No.: 21254

<b>MCINN &amp; GIBB, PLLC</b> REGULAR ACCOUNT 8321 OLD COURTHOUSE RD., SUITE 200 VIENNA, VA 22182 PH. (703) 781-4100		9804 66-270-660	
PAY TO THE ORDER OF <i>Shirley Howard Land Community - Brightford, VA</i>		CHECK AMOUNT \$ 578.00	
DATE	AMOUNT	CHECK NUMBER	DESCRIPTION
02/11/04	578.00	9804	Commissioner USPTO

SUNTRUST  
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 2027355321  
 10000057800

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09-10-2003

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